


# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID:	17481	
Application ID:	10064911	
Title of Invention:	Systems and Methods for Managing a Battery Source Associated With a Microturbine Power Generating System	
First Named Inventor:	Robert McConnell	
Domestic/Foreign Application:	Domestic Application	
Filing Date:	null	
Effective Receipt Date:	2002-08-28	
Submission Type:	Utility Patent Filing	
Filing Type:	new-utility	
Confirmation Number:	0	
Attorney Docket Number:	19441-0005	
Digital Certificate Holder:	cn=Daniel James Warren, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US	
Certificate Message Digest:	hPrPitj1VYp1T0wKAoYA/g==	
Total Fees Authorized:	\$780.0	
Payment Category:	DA - Deposit Account	
Deposit Account Number:	195029	
Deposit Account Name:	Daniel J. Warren	

# TRANSMITTAL FORM

3C474 U.S. PTO  
10/064911  
08/28/02

Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

19441-  
0005

Submission Type: Utility Patent  
Filing

## Systems and Methods for Managing a Battery Source Associated With a Microturbine Power Generating System

First Named Inventor: Robert W. McConnell

### SUBMITTED BY

Name:	Daniel J. Warren
Registration Number:	34,272
Electronic Signature Mark: Daniel J. Warren	Date Signed: 20020828

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

declaration	fig5dec1.tif
declaration	fig6dec2.tif

declaration	fig7dec3.tif
bibd-transmittal	DJWGE0005apds.xml
patent-assignments	DJWGE0005asgn.xml
specification	BatterySource0005.xml
fee-transmittal	DJWGE0005fee.xml

**Attached Image File(s):**

fig5dec1.tif  
fig6dec2.tif  
fig7dec3.tif

Comments:

**DECLARATION AND POWER OF ATTORNEY**

In re Application of: **Robert W. McConnell**

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **"SYSTEMS & METHODS FOR MANAGING A BATTERY SOURCE ASSOCIATED WITH A MICROTURBINE POWER GENERATING SYSTEM,"** the specification of which:

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used by others in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the date of this application. I further state that the invention was not in public use or on sale in the United States of America more than one year prior to the date of this application. I *understand that I have a duty of candor and good faith toward the Patent and Trademark Office*, and I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) of the foreign application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate disclosing subject matter in common with the above-identified specification and having a filing date before that of the application on which priority is claimed:

Application No.	Country	Filing Date	Priority Claimed Under 35 USC §119	
			YES	NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Application No.	Country	Filing Date	Priority Claimed Under 35 USC §119	
			YES	NO

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter disclosed and claimed in the present application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Country	Filing Date	Status: Patented, Pending, Abandoned

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statement were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both,

under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

**POWER OF ATTORNEY:** The following attorneys are hereby appointed to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer No. 29052:

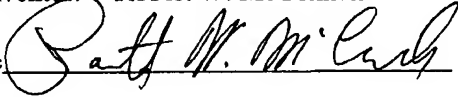
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Full name of sole inventor:	Robert W. McConnell	Citizenship:	USA
Inventor's signature		Date:	7-22-02
Residence and Post Office Address: 3444 Gulfcrest Drive Rancho Palos Verdes, California 90275			

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 780**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 19-5029



Deposit Account Name: Sutherland Asbill & Brennan LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

## SUBMITTED BY

Authorized Name: Daniel J. Warren  
 Electronic Signature Mark: Daniel J. Warren  
 Date Signed: 20020828

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 19	103	\$ 18	0	\$ 0
Independent Claims: 3	102	\$ 84	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0



ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40